



# On the Lie algebra of skew-symmetric elements of an enveloping algebra

Salvatore Siciliano

Dipartimento di Matematica "E. De Giorgi", Università del Salento, Via Provinciale Lecce–Arnesano, 73100–Lecce, Italy

## ARTICLE INFO

### Article history:

Received 2 August 2009

Received in revised form 22 December 2009

Available online 17 March 2010

Communicated by A.V. Geramita

MSC: 16S30; 16W10; 17B60

## ABSTRACT

Let  $L$  be a restricted Lie algebra over a field of characteristic  $p > 2$  and denote by  $u(L)$  its restricted enveloping algebra. We establish when the Lie algebra of skew-symmetric elements of  $u(L)$  under the principal involution is solvable, nilpotent, or satisfies an Engel condition.

© 2010 Elsevier B.V. All rights reserved.

## 1. Introduction

Let  $A$  be an algebra with involution  $*$ . Denote by  $A^+ := \{x \in A \mid x^* = x\}$  the set of symmetric elements of  $A$  under  $*$  and by  $A^- := \{x \in A \mid x^* = -x\}$  the set of skew-symmetric elements. A general question of interest is which properties of  $A^+$  or  $A^-$  can be lifted to  $A$  (see [11]). For example, a classical result of Amitsur [1] states that if  $A^+$  or  $A^-$  satisfies a polynomial identity, then so does  $A$ . Furthermore, there is an extensive literature (see e.g.: [4,7,9,10,13–15]) devoted to determining the extent to which the Lie properties of symmetric or skew-symmetric elements of a group algebra  $FG$  under the canonical involution (induced from the map  $g \mapsto g^{-1}$ ,  $g \in G$ ) determine the Lie properties of the whole group algebra. Recently, similar questions have been investigated for more general involutions of  $FG$  as well (see e.g. [5,6,8,12,16]).

Recall that  $A$  can be viewed as a Lie algebra via the Lie product defined by  $[a, b] = ab - ba$ , for every  $a, b \in A$ . We shall say that  $A$  is Lie solvable (respectively, Lie  $n$ -Engel or Lie nilpotent) to mean that  $A$  is solvable (respectively,  $n$ -Engel or nilpotent) as a Lie algebra. Note that the Lie product of  $A$  induces a Lie algebra structure on  $A^-$ .

Now, let  $L$  be a restricted Lie algebra over a field  $\mathbb{F}$  of characteristic  $p > 0$  and let  $u(L)$  be the restricted enveloping algebra of  $L$ . We use the symbol  $\tau$  for denoting the *principal involution* of  $u(L)$ , that is, the unique  $\mathbb{F}$ -antiautomorphism of  $u(L)$  such that  $x^\tau = -x$  for every  $x$  in  $L$ . We recall that  $\tau$  is just the antipode of the  $\mathbb{F}$ -Hopf algebra  $u(L)$ .

The aim of the present paper is to investigate the Lie properties of the skew-symmetric elements of  $u(L)$  with respect to the involution  $\tau$ . For  $p > 2$ , we determine precisely when the Lie algebra  $u(L)^-$  is solvable, nilpotent or  $n$ -Engel for some  $n$ . An element  $x$  of  $L$  is  $p$ -nilpotent if  $x^{[p]^m} = 0$  for some  $m \geq 0$ ; a subset  $S$  of  $L$  is  $p$ -nil if it consists of  $p$ -nilpotent element, while it is  $p$ -nilpotent if  $S^{[p]^m} := \{x^{[p]^m} \mid x \in S\} = 0$  for some  $m \geq 0$ . The derived subalgebra of  $L$  is denoted by  $L'$ . Our main results are as follows.

**Theorem 1.** *Let  $L$  be a restricted Lie algebra over a field  $\mathbb{F}$  of characteristic  $p > 2$ . Then the following conditions are equivalent:*

- (1)  $u(L)^-$  is solvable;
- (2)  $u(L)$  is Lie solvable;
- (3)  $L'$  is finite-dimensional and  $p$ -nilpotent.

**Theorem 2.** *Let  $L$  be a restricted Lie algebra over a field  $\mathbb{F}$  of characteristic  $p > 2$ . Then the following conditions are equivalent:*

- (1)  $u(L)^-$  is  $n$ -Engel for some  $n$ ;
- (2)  $u(L)$  is Lie  $m$ -Engel for some  $m$ ;
- (3)  $L$  is nilpotent,  $L$  contains a restricted ideal  $I$  such that  $L/I$  and  $L'$  are finite-dimensional, and  $L'$  is  $p$ -nilpotent.

E-mail address: [salvatore.siciliano@unisalento.it](mailto:salvatore.siciliano@unisalento.it).

**Theorem 3.** Let  $L$  be a restricted Lie algebra over a field  $\mathbb{F}$  of characteristic  $p > 2$ . Then the following conditions are equivalent:

- (1)  $u(L)^-$  is nilpotent;
- (2)  $u(L)$  is Lie nilpotent;
- (3)  $L$  is nilpotent and  $L'$  is finite-dimensional and  $p$ -nilpotent.

Thus, in odd characteristic,  $u(L)^-$  is solvable,  $n$ -Engel for some  $n$  or nilpotent if and only if so is  $u(L)$  as a Lie algebra. We shall show that all the previous results fail in characteristic 2.

Finally, the Lie structure of the skew-symmetric elements of ordinary enveloping algebras  $U(L)$  under the principal involution will be discussed. Indeed, for an arbitrary Lie algebra  $L$  over a field of characteristic different from 2, we shall prove that  $U(L)^-$  is solvable or  $n$ -Engel only when  $L$  is abelian.

## 2. Proofs and concluding remarks

The notation used throughout this paper is essentially standard. Let  $L$  be a restricted Lie algebra over a field  $\mathbb{F}$  of positive characteristic  $p$ . We denote by  $Z(L)$  the centre of  $L$ . We use the symbols  $\gamma_i(L)$  ( $i \geq 1$ ) and  $\delta_j(L)$  ( $j \geq 0$ ) for the terms of the lower central series and the derived series of  $L$ , respectively. We adopt the left-normed convention for longer commutators. For a subset  $S$  of  $L$  we denote by  $S_p$  the restricted subalgebra generated by  $S$ . Let now  $\mathbb{F}\{x_1, x_2, \dots\}$  be the free algebra over  $\mathbb{F}$  and  $A$  an  $\mathbb{F}$ -algebra. Then  $0 \neq f(x_1, x_2, \dots, x_n) \in \mathbb{F}\{x_1, x_2, \dots\}$  is said to be a polynomial identity for  $A$  if  $f(a_1, a_2, \dots, a_n) = 0$  for all  $a_1, a_2, \dots, a_n \in A$ . Similarly, let  $\mathbb{F}\{x_1, x_1^*, x_2, x_2^*, \dots\}$  be the free algebra with involution over  $\mathbb{F}$  and  $R$  an  $\mathbb{F}$ -algebra with involution  $\tau$ . Then  $0 \neq f(x_1, x_1^*, \dots, x_n, x_n^*) \in \mathbb{F}\{x_1, x_1^*, x_2, x_2^*, \dots\}$  is said to be a  $*$ -polynomial identity for  $R$  if  $f(a_1, a_1^\tau, \dots, a_n, a_n^\tau) = 0$  for all  $a_1, \dots, a_n \in R$ .

In order to prove Theorems 1–3 we need some preliminary results.

**Lemma 1.** Let  $L$  be a restricted Lie algebra over a field  $\mathbb{F}$  of characteristic  $p > 2$ . Suppose that  $u(L)^-$  satisfies a multilinear polynomial identity  $f(x_1, x_2, \dots, x_n) \in \mathbb{F}\{x_1, x_2, \dots\}$  of degree  $n$ . If  $Z(L)$  has infinite dimension, then  $u(L)$  satisfies  $f$ .

**Proof.** For every  $a \in u(L)$  we have that  $a - a^\tau \in u(L)^-$ , therefore  $u(L)$  satisfies the multilinear  $*$ -polynomial identity

$$g(x_1, x_1^*, \dots, x_n, x_n^*) := f(x_1 - x_1^*, \dots, x_n - x_n^*).$$

Note that the  $*$ -polynomial  $g$  is a sum of monomials of degree  $n$  involving each  $x_i$  or  $x_i^*$  but not both. Write

$$g = f_1 + f_2$$

where  $f_1 = f(x_1, x_2 - x_2^*, \dots, x_n - x_n^*)$ . Observe that  $f_1$  (respectively  $f_2$ ) is just the sum of all monomials of  $g$  in which  $x_1$  (respectively  $x_1^*$ ) appears. Thus, for every  $z_1 \in Z(L)$  and  $a_1, a_2, \dots, a_n \in u(L)$ , we have

$$\begin{aligned} 0 &= g(z_1 a_1, (z_1 a_1)^\tau, a_2, a_2^\tau, \dots, a_n, a_n^\tau) \\ &= z_1 f_1(a_1, a_1^\tau, \dots, a_n, a_n^\tau) + z_1^\tau f_2(a_1, a_1^\tau, \dots, a_n, a_n^\tau). \end{aligned}$$

Since one also has  $z_1^\tau g = z_1^\tau f_1 + z_1 f_2$ , it follows that  $(z_1 - z_1^\tau)f_1 = 2z_1 f_1$  vanishes on  $u(L)$ . As  $p \neq 2$  we get that  $z_1 f_1$  vanishes on  $u(L)$ . A repeated application of this argument allows us to conclude that  $z_1 z_2 \cdots z_n f(x_1, x_2, \dots, x_n)$  vanishes on  $u(L)$  for every  $z_1, z_2, \dots, z_n \in Z(L)$ .

Now suppose, by contradiction, that for some  $h_1, h_2, \dots, h_n \in u(L)$  one has

$$h := f(h_1, h_2, \dots, h_n) \neq 0.$$

By assumption, there is an infinite set  $\mathfrak{Z}$  of  $\mathbb{F}$ -linearly independent elements of  $Z(L)$ . Extend  $\mathfrak{Z}$  in order to form an ordered  $\mathbb{F}$ -basis  $\mathfrak{B}$  of  $L$ . Then, by the PBW Theorem for restricted Lie algebras (see [23], Chapter 2, Theorem 5.1), there exist  $y_1, y_2, \dots, y_m \in \mathfrak{B}$  such that  $h$  is an  $\mathbb{F}$ -linear combination of the elements  $y_1^{r_1} \cdots y_m^{r_m}$  where  $0 \leq r_i \leq p - 1$  for every  $i = 1, 2, \dots, m$ . Let  $z_1, z_2, \dots, z_n \in \mathfrak{Z} \setminus \{y_1, y_2, \dots, y_m\}$ . Then the PBW Theorem implies that

$$z_1 z_2 \cdots z_n h \neq 0,$$

contradicting what was proved above. Therefore  $f$  is a polynomial identity for  $u(L)$ , as required.  $\square$

**Corollary 1.** Let  $L$  be a restricted Lie algebra over a field  $\mathbb{F}$  of characteristic  $p > 2$  such that  $Z(L)$  has infinite dimension. If  $u(L)^-$  is solvable (respectively nilpotent) then  $u(L)$  is Lie solvable (Lie nilpotent) with the same derived length (nilpotency class).

**Proof.** Consider the sequence of elements in the free algebra  $\mathbb{F}\{x_1, x_2, \dots\}$  defined inductively by setting  $f_1(x_1, x_2) = [x_1, x_2]$  and, for every  $n > 1$ ,  $f_n(x_1, x_2, \dots, x_{2^n}) = [f_{n-1}(x_1, \dots, x_{2^{n-1}}), f_{n-1}(x_{2^{n-1}+1}, \dots, x_{2^n})]$ . Let  $m$  denote the derived length of  $u(L)^-$ , so that  $u(L)^-$  satisfies the multilinear polynomial identity  $f_m$ . By Lemma 1 we conclude that  $u(L)$  satisfies  $f_m$ , and the claim for Lie solvability follows. The proof for Lie nilpotency is analogous.  $\square$

**Lemma 2.** Let  $L$  be a restricted Lie algebra over a field of characteristic  $p > 2$ . If  $u(L)^-$  is solvable then  $L'$  is  $p$ -nil.

**Proof.** Suppose, if possible, that there exist  $x, y \in L$  such that the commutator  $[x, y]$  is not  $p$ -nilpotent. Put  $H := \langle x, y \rangle_p$  and let  $J$  denote the Jacobson radical of  $u(H)$ . Then  $J$  is  $\tau$ -invariant and  $(u(H)/J)^-$  under the induced involution is solvable. Since  $u(H)/J$  is semiprimitive, by Proposition 2.4 of [15] we conclude that the elements of  $(u(H)/J)^-$  commute and so, in particular,  $[x, y] \in J$ . Moreover, as  $u(H)$  is finitely generated as an associative algebra and, in view of Amitsur's Theorem [1],

it satisfies a polynomial identity, by the Razmyslov–Kemer–Braun Theorem (see [3]) its Jacobson radical is nilpotent, and thus  $[x, y]$  is  $p$ -nilpotent, a contradiction. Since  $L$  is solvable and all its commutators are  $p$ -nilpotent it follows at once that  $L'$  is  $p$ -nil, completing the proof.  $\square$

**Remark 1.** Let  $L$  be a restricted Lie algebra over a field of characteristic  $p > 2$ . If  $I$  is a restricted ideal of  $L$  and  $A$  denotes the associative ideal of  $u(L)$  generated by  $I$ , then one has  $A = lu(L) = u(L)I$ . This implies, in particular, that  $A$  is  $\top$ -invariant and the Lie algebra  $(u(L)/A)^-$  under the induced involution is a homomorphic image of  $u(L)^-$ .

We recall that the FC-centre of a restricted Lie algebra  $L$  is defined as  $\Delta(L) := \{x \in L \mid \dim_{\mathbb{F}}[L, x] < \infty\}$ . It is immediate to see that  $\Delta(L)$  is a restricted ideal of  $L$  (cf. [17] or [18]).

**Proof of Theorem 1.** By Theorem 1.3 of [19], the conditions (2) and (3) are equivalent. Moreover, (2) obviously implies (1). Suppose then that  $u(L)^-$  is solvable. By Lemma 2 we already know that  $L'$  is  $p$ -nil. Moreover, by Amitsur's Theorem,  $u(L)$  satisfies a polynomial identity and then, by Theorem 6.1 and Lemma 6.2 of [17],  $\Delta(L)'$  is finite-dimensional. Thus, in order to complete the proof, it is enough to show that  $L = \Delta(L)$ . For this purpose, we assume the contrary and proceed to derive a contradiction.

We first observe that we may assume, without loss of generality, that  $\Delta(L)$  is abelian. Indeed, put  $\mathfrak{L} := L/\Delta(L)'_p$  and note that, since  $\Delta(L)'_p$  is finite-dimensional, from Lemma 2.7 of [19] it follows that  $\Delta(\mathfrak{L})$  is abelian and different from  $\mathfrak{L}$ . Also, as  $u(\mathfrak{L}) \cong u(L)/\Delta(L)'_p u(L)$ , by Remark 1 the Lie algebra  $u(\mathfrak{L})^-$  is solvable. Then replace, if necessary,  $L$  by  $\mathfrak{L}$ .

Moreover, as  $L$  is solvable (because its elements are skew-symmetric), there is a minimal  $i > 0$  such that  $\delta_i(L) \subseteq \Delta(L)$ . Put  $\hat{H} := \delta_{i-1}(L)_p + \Delta(L)$ . By Proposition 5.2 of [17],  $\Delta(L)$  has finite codimension in  $L$ , thus by Lemma 2.7 of [19] we see that  $\Delta(\hat{H}) = \Delta(L) \subset \hat{H}$ . Also,  $\hat{H}/\Delta(\hat{H})$  is abelian and  $u(\hat{H})^-$  solvable. Therefore we can replace, if necessary,  $L$  by  $\hat{H}$  and assume that  $L/\Delta(L)$  is abelian. Again, by the corollary after Lemma 5.1.1 of [11] we can suppose, without loss of generality, that the ground field is algebraically closed. Thus, by Proposition 3.6 in Chapter 2 of [23] (applied to  $L/\Delta(L)$ ) we can find an element  $x \notin \Delta(L)$  such that either  $x^{[p]}$  or  $x^{[p]} - x$  is in  $\Delta(L)$ . Consider the restricted subalgebra

$$H := Fx + \Delta(L). \quad (1)$$

Then  $u(H)^-$  is solvable and, by Lemma 2.7 of [19],  $\Delta(H) = \Delta(L) \neq H$ . Moreover, we have on  $H$  that either  $(\text{adx})^p = 0$  or  $(\text{adx})^p = \text{adx}$ . Let us proceed by considering these two cases separately.

Suppose first  $(\text{adx})^p = 0$ . In this case  $H$  is nilpotent of class at most  $p$  and then, as  $H'$  has infinite dimension, by [23] (Chapter 2, Proposition 1.3) there exists  $2 \leq i \leq p$  such that

$$\dim_{\mathbb{F}} \gamma_i(H)_p / \gamma_{i+1}(H)_p = \infty. \quad (2)$$

Consider the restricted Lie algebra

$$\tilde{H} := \frac{Fx + Z(H) + \gamma_{i-1}(H)_p}{\gamma_{i+1}(H)_p}.$$

Then  $\tilde{H}$  is nilpotent of class 2 and so one has

$$\tilde{H}' = \frac{\gamma_i(H) + \gamma_{i+1}(H)_p}{\gamma_{i+1}(H)_p} \subseteq Z(\tilde{H}).$$

Since  $\gamma_i(H)$  is  $p$ -nil, the previous relation and (2) imply that both  $\tilde{H}'$  and  $Z(\tilde{H})$  have infinite dimension. Consequently, as  $u(\tilde{H})^-$  is solvable, Corollary 1 allows us to conclude that  $u(\tilde{H})$  is Lie solvable. Thus, by Theorem 1.3 of [19],  $\tilde{H}'$  must be finite-dimensional, a contradiction.

Now suppose that  $(\text{adx})^p = \text{adx}$  on  $H$ . By (1), for every  $a \in H'$  there exists  $b \in \Delta(H)$  such that  $a = [b, x]$ . It follows that  $a(\text{adx})^{p-1} = b(\text{adx})^p = b\text{adx} = a$ , hence  $(\text{adx})^{p-1}$  agrees with the identity on  $H'$ . As a consequence, one has

$$H' = \bigoplus_{\lambda \in \mathbb{F}_p \setminus \{0\}} V_{\lambda}, \quad (3)$$

where  $\mathbb{F}_p$  is the prime subfield of  $\mathbb{F}$  and  $V_{\lambda}$  denotes the eigenspace of  $H'$  relative to the eigenvalue  $\lambda$  for  $\text{adx}$ . Now, denote by  $E(H')$  and  $E(u(\Delta(H)))$  the set of eigenvectors for  $\text{adx}$  in  $H'$  and  $u(\Delta(H))$ , respectively. Also, for  $a \in E(u(\Delta(H)))$  we write  $\lambda_a$  for its eigenvalue. Note that, if  $a, b \in E(u(\Delta(H)))$  then  $(ab)\text{adx} = [a, x]b + a[b, x] = (\lambda_a + \lambda_b)ab$ , so that  $ab \in E(u(\Delta(H)))$  and  $\lambda_{ab} = \lambda_a + \lambda_b$ .

We claim that, for all non-negative integers  $r, n$  with  $r \geq 2^n - 1$ , if  $a_1, a_2, \dots, a_r \in E(H')$  then there exists  $\xi \in u(\Delta(H))$  such that the element  $xa_1^2 a_2^2 \cdots a_r^2 + \xi$  is in  $\delta_n(u(H)^-)$ . We proceed by induction on  $n$ . The claim is true for  $n = 0$ . In fact, for every  $a_1, \dots, a_r \in E(H')$  we have

$$2xa_1^2 \cdots a_r^2 - [x, a_1^2 \cdots a_r^2] = xa_1^2 \cdots a_r^2 - (xa_1^2 \cdots a_r^2)^{\top} \in u(H)^-.$$

Since  $p > 2$ , for  $\xi = -\frac{1}{2}[x, a_1^2 \cdots a_r^2] \in u(\Delta(H))$  we get the claim. Thus assume  $n > 0$  and let  $a_1, a_2, \dots, a_r \in E(H')$  with  $r \geq 2^n - 1$ . For a subset  $C$  of  $\{1, 2, \dots, r\}$  we put  $\lambda_C := \sum_{i \in C} \lambda_{a_i}$  and  $a_C := \prod_{i \in C} a_i$ , the order of multiplication here being irrelevant as  $H'$  is abelian.

We want to show that there is a subset  $S$  of  $\{1, 2, \dots, r\}$  such that  $S$  and its complement  $\bar{S}$  in  $\{1, 2, \dots, r\}$  have both cardinality at least  $2^{n-1} - 1$  and  $\lambda_S \neq \lambda_{\bar{S}}$ . Assume, if possible, the contrary and put  $\lambda := \sum_{i=1}^r \lambda_{a_i}$ . Then for every  $T \subset \{1, 2, \dots, r\}$  with  $|T| = 2^{n-1} - 1$  or  $|T| = 2^{n-1}$  we have  $\lambda_T = \lambda_{\bar{T}} = \frac{\lambda}{2}$ . In particular, it follows that

$$\sum_{i=1}^{2^{n-1}-1} \lambda_{a_i} = \sum_{i=1}^{2^{n-1}} \lambda_{a_i} = \frac{\lambda}{2},$$

which forces  $\lambda_{a_{2^{n-1}}} = 0$ , a contradiction to (3). Therefore there exists a subset  $S$  of  $\{1, 2, \dots, r\}$  with the required properties.

Now, by the inductive assumption there are  $\xi_S$  and  $\xi_{\bar{S}}$  in  $u(\Delta(H))$  such that  $xa_S^2 + \xi_S$  and  $xa_{\bar{S}}^2 + \xi_{\bar{S}}$  are in  $\delta_{n-1}(u(H)^-)$ . Using the fact that  $\Delta(H)$  is an abelian ideal of  $H$ , by standard calculations we obtain

$$2(\lambda_{a_S} - \lambda_{a_{\bar{S}}})xa_S^2a_{\bar{S}}^2 + \zeta = [xa_S^2 + \xi_S, xa_{\bar{S}}^2 + \xi_{\bar{S}}] \in \delta_n(u(H)^-),$$

where we put  $\zeta := [x, \xi_{\bar{S}}]a_S^2 + [\xi_S, x]a_{\bar{S}}^2 \in u(\Delta(H))$ . Since  $\lambda_{a_S} = \lambda_S \neq \lambda_{\bar{S}} = \lambda_{a_{\bar{S}}}$  and  $p > 2$ , by setting  $\xi := (2(\lambda_{a_S} - \lambda_{a_{\bar{S}}}))^{-1}\zeta \in u(\Delta(H))$  we get

$$xa_1^2a_2^2 \cdots a_r^2 + \xi = xa_S^2a_{\bar{S}}^2 + \xi \in \delta_n(u(H)^-),$$

completing the inductive step.

Finally, since  $\dim_{\mathbb{F}} H' = \infty$ , in view of (3) for every  $m \geq 0$  we can find  $\mathbb{F}$ -linearly independent elements  $a_1, a_2, \dots, a_{2^m-1}$  of  $E(H')$ . Thus, for the proved claim there exists  $\xi \in u(\Delta(H))$  such that the element  $y := xa_1^2a_2^2 \cdots a_{2^m-1}^2 + \xi$  is in  $\delta_m(u(H)^-)$ . Moreover, since  $p > 2$  the PBW Theorem ensures that  $y \neq 0$ , so that  $\delta_m(u(H)^-) \neq 0$  for every  $m \geq 0$ , contradicting the solvability of  $u(H)^-$ .  $\square$

The following example shows that Theorem 1 fails in characteristic 2.

**Example 1.** Let  $L$  be the restricted Lie algebra over a field  $\mathbb{F}$  of characteristic 2 with a basis  $\{x_1, x_2, y, z\}$  such that  $[x_1, x_2] = z$ ,  $[x_1, y] = x_1$ ,  $[x_2, y] = x_2$ ,  $z \in Z(L)$ ,  $x_1^{[2]} = x_2^{[2]} = 0$ ,  $y^{[2]} = y$  and  $z^{[p]} = z$ . It is easy to see that  $u(L)^-$  coincides with the  $\mathbb{F}$ -vector space spanned by the set  $\{1, x_1, x_2, y, z, x_1z, x_2z, yz, x_1x_2 + x_1x_2z, x_1x_2y + x_1x_2yz\}$ . Consequently,  $u(L)^-$  is solvable. On the other hand, since the element  $yz + x_1x_2 = [[x_1, y], [x_1, x_1y]], x_2]$  is not nilpotent, from [21] it follows that the algebra  $u(L)$  is not Lie solvable.

**Proof of Theorem 2.** By Theorem 1.2 of [19] the conditions (2) and (3) are equivalent and, clearly, (2) implies (1). Assume now that condition (1) holds. Since the elements of  $L$  are skew-symmetric it is clear that  $L$  is  $m$ -Engel. Moreover, by Amitsur's Theorem  $u(L)$  satisfies a polynomial identity and then, by Corollary 2.2 of [19],  $L$  contains a nilpotent restricted ideal  $I$  such that  $L/I$  and  $I'$  are finite-dimensional. Clearly, without loss of generality, we can suppose that  $Z(L) \subseteq I$ . Consequently, for any  $t$  with  $p^t \geq m$  we have  $L^{[p^t]} \subseteq Z(L) \subseteq I$ , so that  $L/I$  is finite-dimensional and  $p$ -nilpotent. Therefore, Proposition 5.1 in [20] allows us to conclude that  $L$  is nilpotent.

It remains only to show that  $L'$  is  $p$ -nilpotent. Suppose, if possible, the contrary. Then, since  $L$  is nilpotent, there exists a minimal  $n > 2$  such that  $\gamma_n(L)$  is  $p$ -nilpotent. By [23] (Chapter 2, Proposition 1.3),  $\gamma_n(L)_p$  is a  $p$ -nilpotent restricted ideal of  $L$ . Put  $\mathfrak{L} := L/\gamma_n(L)_p$ . Since  $\gamma_{n-1}(\mathfrak{L}) \subseteq Z(\mathfrak{L})$ , from the assumption it follows that there exist  $x, y \in \mathfrak{L}$  such that  $z := [x, y] \in Z(\mathfrak{L})$  and  $z^{[p^t]} \neq 0$  for every  $t \geq 0$ . Clearly,  $2xyz - z^2$  is a skew-symmetric element of  $u(\mathfrak{L})$ . Moreover, it can be seen by an easy induction that for every  $r > 0$  one has

$$[x, \underbrace{2xyz - z^2, \dots, 2xyz - z^2}_{r \text{ times}}] = 2^r xz^{2^r}. \quad (4)$$

Now, by Remark 1 the associative ideal  $J$  generated by  $\gamma_n(L)_p$  is  $\tau$ -invariant and the Lie algebra  $(u(L)/J)^-$  under the induced involution is  $m$ -Engel. Since  $u(L)/J \cong u(\mathfrak{L})$  and  $p \neq 2$ , from (4) we deduce that  $xz^{p^t} = 0$  for every  $t$  with  $p^t \geq 2m$ . On the other hand, since  $x$  and  $z^{[p^t]}$  are  $\mathbb{F}$ -linearly independent (as  $z^{[p^t]}$  is central whereas  $x$  is not), the last conclusion contradicts the PBW Theorem, completing the proof.  $\square$

**Proof of Theorem 3.** Obviously, if  $u(L)$  is Lie nilpotent then  $u(L)^-$  is nilpotent. On the other hand, if  $u(L)^-$  is nilpotent then, as the elements of  $L$  are skew-symmetric,  $L$  is clearly nilpotent. Furthermore, by Theorem 1 we infer that  $L'$  is finite-dimensional and  $p$ -nilpotent. At this stage, Theorem 1.1 of [19] allows us to conclude that  $u(L)$  is Lie nilpotent, and the proof is complete.  $\square$

Note that Theorems 2 and 3 do not hold in characteristic 2, as the following example shows.

**Example 2.** Let  $L$  be the restricted Lie algebra over a field  $\mathbb{F}$  of characteristic 2 with a basis  $\{x, y, z\}$  such that  $[x, y] = z$ ,  $z \in Z(L)$ ,  $x^{[2]} = y^{[2]} = 0$  and  $z^{[2]} = z$ . Then it is immediate to see that  $u(L)^-$  coincides with the  $\mathbb{F}$ -vector space spanned by the set  $\{1, x, y, z, xz, yz, xy + xyz\}$ . As a consequence,  $u(L)$  is nilpotent. On the other hand, by Theorem 1.2 of [19],  $u(L)$  cannot satisfy any Engel condition.

Finally, for the Lie algebra of skew-symmetric elements of ordinary enveloping algebras under the principal involution we have the following

**Corollary 2.** *Let  $L$  be a Lie algebra over a field  $\mathbb{F}$  of characteristic  $p \neq 2$ . Then  $U(L)^-$  is solvable or  $n$ -Engel for some  $n$  if and only if  $L$  is abelian.*

**Proof.** The condition is obviously sufficient. Let us prove the converse. If the ground field  $\mathbb{F}$  has characteristic zero then, since  $U(L)$  satisfies a polynomial identity by Amitsur's Theorem, in view of [2] (Theorem 25 in Section 6.7)  $L$  is necessarily abelian. Suppose then  $p > 2$ . Put

$$\hat{L} := \sum_{k \geq 0} L^{p^k} \subseteq U(L)$$

where  $L^{p^k}$  is the  $\mathbb{F}$ -vector space spanned by the set  $\{l^{p^k} \mid l \in L\}$ . Then  $\hat{L}$  is a restricted Lie algebra with  $h^{[p]} = h^p$  for all  $h \in \hat{L}$ . Moreover, by Corollary 1.1.4 of [22] we have  $U(L) = u(\hat{L})$ . Therefore, as  $u(\hat{L})^-$  is solvable or  $n$ -Engel, Theorems 1 and 2 imply that  $\hat{L}'$  is  $p$ -nilpotent. Since  $u(\hat{L}) = U(L)$  is a domain, the last condition occurs only when  $L' = 0$ , and the claim follows.  $\square$

## Acknowledgement

I am grateful to the anonymous referee for the useful comments.

## References

- [1] S.A. Amitsur, Identities in rings with involutions, *Israel J. Math.* 7 (1969) 63–68.
- [2] Yu.A. Bahturin, *Identical Relations in Lie Algebras*, VNU Science Press, Utrecht, 1987.
- [3] A. Braun, The nilpotency of the radical in a finitely generated PI ring, *J. Algebra* 89 (1984) 375–396.
- [4] O. Broche Christo, C. Polcino Milies, Commutativity of skew symmetric elements in group rings, *Proc. Edinb. Math. Soc.* (2) 50 (2007) 37–47.
- [5] O. Broche Christo, E. Jespers, M. Ruiz, Antisymmetric elements in group rings with an orientation morphism, *Forum Math.* 21 (2009) 427–454.
- [6] O. Broche Christo, E. Jespers, C. Polcino Milies, M. Ruiz, Antisymmetric elements in group rings. II, *J. Algebra Appl.* 8 (2009) 115–127.
- [7] A. Giambruno, C. Polcino Milies, Unitary units and skew elements in group algebras, *Manuscripta Math.* 111 (2003) 195–209.
- [8] A. Giambruno, C. Polcino Milies, S.K. Sehgal, Lie properties of symmetric elements in group rings, *J. Algebra* 321 (2009) 890–902.
- [9] A. Giambruno, S.K. Sehgal, Lie nilpotence of group rings, *Comm. Algebra* 21 (1993) 4253–4261.
- [10] A. Giambruno, S.K. Sehgal, Group algebras whose Lie algebra of skew-symmetric elements is nilpotent, in: *Group, Rings and Algebras*, in: *Contemp. Math.*, vol. 420, Amer. Math. Soc, Providence, RI, 2006, pp. 113–120.
- [11] I.N. Herstein, *Rings with Involution*, University of Chicago Press, Chicago, 1976.
- [12] E. Jespers, M. Ruiz, Antisymmetric elements in group rings, *J. Algebra Appl.* 4 (2005) 341–353.
- [13] G. Lee, Group rings whose symmetric elements are Lie nilpotent, *Proc. Amer. Math. Soc.* 127 (1999) 3153–3159.
- [14] G. Lee, The Lie  $n$ -Engel property in group rings, *Comm. Algebra* 28 (2000) 867–881.
- [15] G. Lee, S.K. Sehgal, E. Spinelli, Group algebras whose symmetric and skew elements are Lie solvable, *Forum Math.* 21 (2009) 661–671.
- [16] G. Lee, S.K. Sehgal, E. Spinelli, Lie properties of symmetric elements in group rings. II, *J. Pure Appl. Algebra* 213 (2009) 1173–1178.
- [17] D.S. Passman, Enveloping algebras satisfying a polynomial identity, *J. Algebra* 134 (1990) 469–490.
- [18] V.M. Petrogradsky, Existence of identities in the restricted enveloping algebra, *Math. Zametki* 49 (1991) 84–93.
- [19] D.M. Riley, A. Shalev, The Lie structure of enveloping algebras, *J. Algebra* 162 (1993) 46–61.
- [20] A. Shalev, Polynomial identities in graded group rings, restricted Lie algebras and  $p$ -adic analytic groups, *Trans. Amer. Math. Soc.* 337 (1993) 451–462.
- [21] M.B. Smirnov, A.E. Zalesski, Associative rings satisfying the identity of Lie solvability, *Vestsi Akad. Navuk BSSR Ser. Fiz.-Mat. Navuk* 123 (1982) 15–20.
- [22] H. Strade, *Simple Lie Algebras over Fields of Positive Characteristic I*, Structure Theory, Walter de Gruyter & Co, Berlin, New York, 2004.
- [23] H. Strade, R. Farnsteiner, *Modular Lie Algebras and their Representations*, Marcel Dekker, New York, 1988.